

COPY

Substitute Form PTO-1596

Attorney Docket Number: 50195008003

Certificate of Mailing: Date of Deposit June 3, 2002

I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to BOX ASSIGNMENT, Commissioner, United States Patent and Trademark Office, Washington, D.C. 20231.

Moya Kinnealey  
Printed Name

Moya Kinnealey  
Signature of Person Mailing Correspondence

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

Please record the attached document.

<p>1. Names of all conveying parties:</p> <p>Yoshimi Kuroiwa Kazuma Tomizuka Isao Ishida</p> <p>Additional names attached: NO</p>	<p>2. Names and addresses of all receiving parties:</p> <p>Kirin Beer Kabushiki Kaisha 10-1, Shinkawa 2-chome, Chuo-ku, Tokyo, 104-8288</p> <p>Additional names/addresses attached: NO</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____</p> <p>Execution Date: February 4, 2002; February 12, 2002; and February 4, 2002</p>	
<p>4. Application numbers or patent numbers:</p> <p>A. Patent Application Numbers: 09/988,115</p>	<p>B. Patent Numbers:</p>
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Karen L. Elbing, Ph.D., Reg No. 35,238 Clark &amp; Elbing LLP 101 Federal Street Boston, MA 02110 Customer No.: 21559</p>	<p>6. Total number of applications/patents involved: 1</p> <p>7. Total fee (37 C.F.R. § 3.41): \$40.00 <input checked="" type="checkbox"/> Fee enclosed <input type="checkbox"/> Authorized to charge deposit account</p> <p>8. Deposit account number: 03-2095. If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to Deposit Account No. 03-2095.</p>

DO NOT USE THIS SPACE

9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

Karen L. Elbing, Ph.D.

[Signature]  
Signature

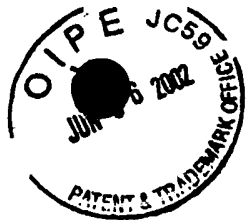
50195.008003 Recordation Cover Sheet for Kirin Beer.wpd

3 June 2002  
Date



21559

PATENT TRADEMARK OFFICE



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PATENT  
ATTORNEY DOCKET NO. 50195/008003

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<u>Moya Kinnealey</u>	<u>Moya Kinnealey</u>
Printed name of person mailing correspondence	Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	James M. Robl et al.	Art Unit:	1632
Serial No.:	09/988,115	Examiner:	Not Yet Assigned
Filed:	November 16, 2001	Customer No.:	21559
Title:	EXPRESSION OF XENOGENOUS (HUMAN) IMMUNOGLOBULINS IN CLONED, TRANSGENIC UNGULATES		

Assistant Commissioner For Patents  
Washington, DC 20231

TRANSMITTAL OF FORMAL DRAWINGS TO OFFICIAL DRAFTSPERSON

In reply to the Notice to File Missing Parts that was mailed in the above-captioned case  
on December 4, 2001, enclosed are:

☒ 38 sheets of formal drawings that replace the informal drawings filed with the  
application

If there are any other charges or any credits, please apply them to Deposit Account  
No. 03-2095.

Respectfully submitted,

Date: 3 June 2002

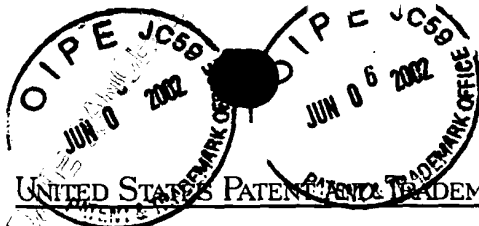
Karen L. Elbing  
Karen L. Elbing, Ph.D.  
Reg. No. 35,238

Clark & Elbing LLP  
101 Federal Street  
Boston, MA 02110  
Telephone: 617-428-0200  
Facsimile: 617-428-7045

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,115	11/16/2001	James M. Robl	50195/008003

CONFIRMATION NO. 8075

21559  
CLARK & ELBING LLP  
176 FEDERAL STREET  
BOSTON, MA 02110-2214

## FORMALITIES LETTER



\*OC00000007150746\*

Date Mailed: 12/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

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desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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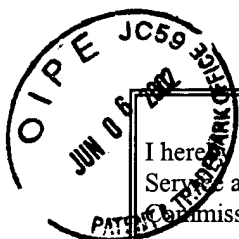
*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



MP # PATENT  
ATTORNEY SCKET NO. 50195/008003

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Moya Kinnealey

Printed name of person mailing correspondence

Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James M. Robl et al.

Art Unit: 1632

Serial No.: 09/988,115

Examiner: Not Yet Assigned

Filed: November 16, 2001

Customer No.: 21559

Title: EXPRESSION OF XENOGENOUS (HUMAN) IMMUNOGLOBULINS IN CLONED, TRANSGENIC UNGULATES

Assistant Commissioner For Patents  
Washington, DC 20231

REPLY TO NOTICE TO FILE MISSING PARTS

In reply to the Notice to File Missing Parts of Application mailed December 4, 2001 (a copy of which is enclosed), Applicant as a large entity submits herewith the following:

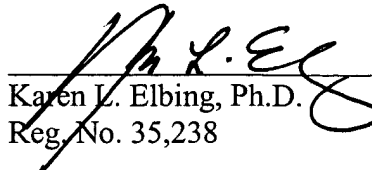
- ☒ Two signed Declarations and two Power of Attorney forms in compliance with 37 C.F.R. § 1.63.
- ☒ A copy of two Recordation Cover Sheets and Assignments are enclosed.
- ☒ Payment of the surcharge of \$130.00 for late filing of the basic filing fee/declaration.
- ☒ A Petition For Extension of Time and the required fee.
- ☒ A transmittal letter to the Official Draftsperson accompanied by 38 sheets of formal drawings which replace the drawings filed with the application.
- ☒ Applicant notes that a Sequence Listing and an Amendment directing its entry into the application were filed on February 7, 2002.

If there are any charges, or any credits, please apply them to Deposit Account

No. 03-2095.

Respectfully submitted,

Date: 3 June 2002

  
Karen L. Elbing, Ph.D.  
Reg. No. 35,238

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